

Privacy statement

This statement relates to the collection of your personal information that you are providing to Pie Funds Management Limited (**'Pie Funds'**), issuer of the Pie KiwiSaver Scheme (**'Scheme'**), and Apex Limited and its related entities (**'Apex'**), by way of this application and any personal information that you may provide in the future in relation to your investment in the Scheme.

The personal information you have supplied may be used by Pie Funds, Apex and other service providers who provide services in relation to your investment in the Scheme for the purposes of enabling the arranging and management of your investment and to contact you in relation to your investment and to promote to you other products and services. If you are providing us with information about someone else, you confirm that that person has read and agrees to the collection and use of that information in accordance with this section.

Pie Funds will always keep your information confidential and retain it only for as long as is required by law. Where necessary, we may need to disclose your information under compulsion of law, where required by regulatory agencies (including the Financial Markets Authority or Trustees Executors Limited (**'Supervisor'**)), to any tax authority, or to our professional advisers where reasonably necessary for the performance of our services.

In addition, any information you provide, as well as your personal account information, may be used by your financial adviser or the distribution entity through which you joined the Scheme (if applicable) for the purpose of providing ongoing financial advice and/or services to you. Pie Funds will also use the information in accordance with the requirements of the Anti-Money Laundering and Countering Financing of Terrorism Act 2009, including for the purposes of electronic verification and may disclose information to such carefully selected external and independent agencies and entities as it considers appropriate for this purpose.

As a New Zealand Financial Institution, we are required to classify you for the purposes of the United States Foreign Account Tax Compliance Act (**'FATCA'**) and the OECD Common Reporting Standards for Automatic Exchange of Financial Account Information (**'AEOI'**) to meet our due diligence obligations. This may require the collection of certain information about the tax residency and citizenship of an individual investor or the persons who control an entity investor. We may then be legally obliged to pass on this information and other financial information with respect to the account to the Inland Revenue and they may exchange this information with tax authorities of another jurisdiction including the IRS in the United States.

Pie Funds will provide you (on request) with the name and address of any entity to which your information has been disclosed. Under the Privacy Act 2020 you have the right to access all personal information held about you by or on behalf of Pie Funds. If any of the information is incorrect, you have the right to have it corrected. You can contact Pie Funds using the details set out above or on +64 9486 1701.

You agree that your name and address may be used by Pie Funds to provide you with a newsletter and other information about the Scheme, Pie Funds, and including our other products and services.

Declaration

By completing the application form:

- I confirm that I am authorised to provide the personal details presented and have obtained the consent of the individuals to which the personal details relate for this information to be passed to and checked with the document issuer, official record holder, a credit bureau and authorised third parties for the purpose of verifying my identity and address. I also confirm that if required, evidence of this consent can be produced;
- I have read and understand the Product Disclosure Statement for the Scheme;
- I have read and understand Pie Funds' Privacy Policy;
- I understand that calls may be recorded for training and record keeping purposes. If you do not want calls to be recorded, please contact us via email kiwisaver@piefunds.co.nz or advise one of our investor service staff members;
- I agree to be bound by the terms of the Governing Documents, available at www.companiesoffice.govt.nz/disclose.
- I agree to the terms outlined above in relation to the Privacy Act 2020 and the supply of my personal information;
- I understand the manner in which the fees will be deducted from my investment in the Scheme;
- I understand that the Scheme is a vehicle for long-term investment and that the value of my investment is liable to fluctuations and may rise and fall;
- I acknowledge that neither the Supervisor nor Pie Funds are liable to me for any loss as a consequence of the investment direction given on this form, or any later investment direction and that Pie Funds does not guarantee the performance of the investment funds;
- I consent to receiving any communication from Pie Funds and Apex, including those required by law, financial statements and transaction confirmations, electronically via an online portal or at the email address specified in this application form, or any other email addressed advised to Pie Funds from time to time; and
- I understand the information supplied by me with this application can be used to electronically verify my identity and may be disclosed for these purposes to third parties.

- I have taken our financial capability into account when making my/our decision to invest in the fund(s).
- I confirm that I am currently either resident in New Zealand or located in New Zealand at the time of joining Pie Funds.
- I declare that all statements made in this application are, to the best of my knowledge and belief, correct and complete. Furthermore, I will undertake to notify Pie Funds of any change in tax residency status or a change which causes the information to become incorrect or incomplete.
- I agree that no personalised advice will be provided by Pie Funds, unless I specifically engage a Pie Wealth financial adviser. I acknowledge that the Client Services team can only provide me with factual information about Pie Funds' products.